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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	Not Yet Assigned
		Filing Date	9/25/2003
		First Named Inventor	James Albert Fontana
		Art Unit	
		Examiner Name	
(Use as many sheets as necessary)		Attorney Docket Number	AWK02-004
Sheet	1	of	2

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
S. D.		US- 5,812,996	09/22/1998	Rubin et al.	
S. D.		US- 5,822,749	10/13/1998	Agarwal	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

Examiner Signature	<i>Sevgi Jelehorthy</i>	Date Considered	11/18/2006
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	Not Yet Assigned
		Filing Date	9/25/2003
		First Named Inventor	James Albert Fontana
		Art Unit	
		Examiner Name	
Sheet 2 of 2	Attorney Docket Number	AWK02-004	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
S.D.	1	Robert E. Filman, Applying Aspect-Oriented Programming to Intelligent Synthesis, Workshop on Aspects and Dimensions of Concerns 14th European Conference on	
S.D.	1 cont.	Object-Oriented Programming, Cannes, France, June 2000	
S.D.	2	Ribler, R.L., H. Similci, D.A. Reed, The Autopilot Performance-Directed Adaptive Control System, Future Generation Computer Systems, Special Issue of Performance Data Mining, Volume 18, Number 1, 2001, pp. 175-187	
S.D.	2 cont.		
S.D.	3	Daniel A. Reed, Christopher L. Elford, Tara M. Madhyastha, Evgenia Smirni, and Stephen E. Lamm, The Next Frontier: Interactive and Closed Loop Performance Steering, Proc.	
S.D.	3 cont.	1996 Workshop on Challenges for Parallel Processing, Bloomingdale, Illinois, August 1999, pp.20-31	
S.D.	4	Brown, Keith, Building a Lightweight COM Interception Framework, Part I: The Universal Delegator, Microsoft Systems Journal, vol. 14, pp. 17-29, January 1999	
S.D.	5	Brown, Keith, Building a Lightweight COM Interception Framework, Part II: The Guts of The UD, Microsoft Systems Journal, vol. 14, pp. 49-59, February 1999	
S.D.	6	Fisher, Ronald A., Statistical Methods for Research Workers, originally published in London by Oliver and Boyd, online at: http://air.ac.kr/reference/COM/interecpt.htm	

Examiner Signature	<i>Serg Delakovsky</i>	Date Considered	1/18/2006
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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